

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: June 23, 2004
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLN. NO. (if known): <b>10/500002</b>
INTERNATIONAL APPLICATION NO.: PCT/JP02/13735	INTERNATIONAL FILING DATE: DECEMBER 26, 2002	PRIORITY DATE CLAIMED: DECEMBER 26, 2001
TITLE OF INVENTION: <b>GROUP III-NITRIDE SEMICONDUCTOR SUBSTRATE AND PRODUCTION METHOD THEREFOR</b>		
APPLICANT(S) FOR DO/EO/US: <b>Satoshi KAMIYAMA, Hiroshi AMANO, Isamu AKASAKI, Shigeki OHTANI and Jun SUDA</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <u>XX</u> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <u>   </u> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <u>XX</u> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (17) indicated below.</li> <li>4. <u>XX</u> The US has been elected (Article 31).</li> <li>5. <u>XX</u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> <li>a. <u>   </u> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <u>XX</u> has been transmitted by the International Bureau.</li> <li>c. <u>   </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <u>XX</u> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <u>XX</u> is attached hereto.</li> <li>b. <u>   </u> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <u>XX</u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <u>   </u> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <u>   </u> have been transmitted by the International Bureau.</li> <li>c. <u>   </u> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <u>XX</u> have not been made and will not be made.</li> </ol> </li> <li>8. <u>   </u> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <u>   </u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <u>   </u> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b>		
11. <u>XX</u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO-1449 and 9 references.		
12. <u>   </u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEES NAMES AND ADDRESSES: <u>(1) JAPAN SCIENCE AND TECHNOLOGY AGENCY, Kawaguchi-shi, Japan; and (2) NATIONAL INSTITUTE FOR MATERIALS SCIENCE, Tsukuba-shi, Japan</u> Please publish the assignee data with the application.		
13. <u>   </u> A <b>FIRST</b> preliminary amendment. <u>   </u> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment		
14. <u>   </u> A substitute specification.		
15. <u>   </u> A change of power of attorney and/or address letter.		
16. <u>XX</u> Other items or information: 3 sheets of drawings.		

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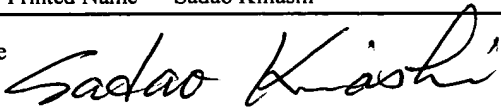
17. <u>X</u> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO: ..... \$920.00  International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$730.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$770.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1080.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>	<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>
\$ 920.00		

Surcharge of \$130.00 for furnishing the oath or declaration later than <u>x</u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 130.00	
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL	9 -20 =		X \$ 18.00		
INDEPENDENT	2 - 3 =		X \$ 86.00		
Multiple dependent claims(s) (if applicable)			+ \$290.00	\$ 290.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,340.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).					
<b>SUBTOTAL =</b>				<b>\$1,340.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,340.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,340.00</b>	
				Amount to be:	
				refunded	\$
				charged	\$

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<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,340.00 to cover the above fees is enclosed. (\$920.00 for basic filing fee; \$290.00 for multiple dependent claims and \$130.00 for late filing of the declaration). (This paper is filed in triplicate)</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 50-2866 in the amount of \$___ to cover the above fees. (A duplicate copy of this sheet is enclosed.)</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2866.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.</p>			
Send All Correspondence To:		WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP Suite 700 1250 Connecticut Avenue, N.W. Washington, D.C. 20036 CUSTOMER No. 38834	
Typed or Printed Name Sadao Kinashi		Reg. No. 48,075	
Signature 		Date: June 23, 2004	

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